

Notice: 18 U.S. C. § 1001 makes it a crime to knowingly or willfully make false statements in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States.

I. To be completed for All Orders:

A. SYSTEM FOR AWARD MANAGEMENT (SAM)

Offeror represents that Offeror does or does not have an active registration in the U.S. Government System for Award Management (SAM) database (see FAR 52.204-7). www.sam.gov

B. PROHIBITION OF SEGREGATED FACILITIES (FAR 52.222-21)

“Gender identity” has the meaning given by the Department of Labor’s Office of Federal Contract Compliance Programs, and is found at www.dol.gov/ofccp/LGBT/LGBT_FAQs.html

“Segregated facilities” means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between sexes.

“Sexual orientation” has the meaning given by the Department of Labor’s Office of Federal Contract Compliance Programs, and is found at www.dol.gov/ofccp/LGBT/LGBT_FAQs.html

- By the submission of this offer, the Offeror certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Offeror agrees that a breach of this certification is a violation of the Equal Opportunity clause in the contract.

C. PLACE OF MANUFACTURE (FAR 52.225-18) – if applicable

“Manufactured end product” means any end product in Federal Supply Classes (FSC) 1000-9999, except—

1. FSC 5510, Lumber and Related Basic Wood Materials;
2. FSG 87, Agricultural Supplies;
3. FSG 88, Live Animals;
4. FSG 89, Food and Related Consumables;
5. FSC 9410, Crude Grades of Plant Materials;
6. FSC 9430, Miscellaneous Crude Animal Products, Inedible;
7. FSC 9440, Miscellaneous Crude Agricultural and Forestry Products;
8. FSC 9610, Ores;
9. FSC 9620, Minerals, Natural and Synthetic; and
10. FSC 9630, Additive Metal Materials.

“Place of manufacture” means the place where an end product is assembled out of components, or otherwise made or processed from raw materials into the finished product that is to be provided to the Government. If a product is disassembled and reassembled, the place of reassembly is not the place of manufacture.

For statistical purposes only, the offeror shall indicate whether the place of manufacture of the end products it expects to provide in response to this solicitation is predominantly—

- In the United States (Check this box if the total anticipated price of offered end products manufactured in the United States exceeds the total anticipated price of offered end products manufactured outside the United States); or
- Outside the United States.

D. CERTIFICATION OF COUNTERFEIT PARTS PLAN INCLUDING COUNTERFEIT ELECTRONIC PARTS DETECTION AND AVOIDANCE SYSTEMS (DFARS 252.246-7007)

The Offeror certifies that it does or does not provide goods or services that are Electrical, Electronic, and Electro-mechanical (EEE) parts or contain EEE parts. (Note: Electrical wire and electrical connectors are considered EEE

parts.)

If no, do not proceed further.

If yes, Offeror further certifies that it does or does not have a Counterfeit Parts Plan in place for the detection and avoidance of counterfeit goods or services.

Such plan is or is not consistent with DFARS 252.246-7007 or Industry standards (e.g. SAE AS5553, SAE AS6496 or SAE AS6081).

E. CERTIFICATION OF REGISTRATION WITH THE DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC)

The Offeror certifies that it is or is not required to be registered to manufacture or export defense articles, or furnish defense services as required by the International Traffic in Arms Regulations (22 C.F.R. Part 122). If required to be registered, the Offeror certifies that it is currently registered with DDTC.

If not located in Canada, you may skip this Certification of Registration with the Canadian Goods Directorate Section.

F. CERTIFICATION OF REGISTRATION WITH THE CANADIAN GOODS DIRECTORATE (Reference Defense Production Act and Controlled Goods Regulations <http://ssi-iss.tpsgc-pwgsc.gc.ca/dmc-cgd/index-eng.html>. Applies if the Offeror is located in Canada.)

The Offeror certifies that it is or is not required to be registered to manufacture or export defense articles, or furnish defense services as required by the Canadian Goods Directorate (CGD). If required to be registered, the Offeror certifies that it is currently registered with the CGD.

II. To be completed if do not have an active registration status in SAM:

A. BUSINESS STATUS

The establishment of the Offeror's business size, status and other representations are required by government regulations and corporate policy. Dynerics and/or affiliates are required to determine business size and classification of its suppliers and subcontractors in advance of any procurement transactions. Any representation by the Offeror and assigned profile of record shall be fully incorporated into all contractual obligations.

Size Information

- Small Business (SB)
- Large Business (LB)

Business Type

(Check all blocks that apply)

- U.S. Business
- Foreign Business – business organized or existing under the laws of a country other than the United States or its territories or possessions
 - o Country of Origin: _____
- Non-Profit Organization
- Affiliate Member of NIB and/or NISH
- Historically Black College/University or Minority Institution (HBCU/MI)

Ownership Information

(Check all blocks that apply)

- Minority Owned

Owner Ethnicity Information

(Check one block only)

- African American
- Asian Pacific American
- Hispanic American
- Native American
- Subcontinent Asian American
- Other – All other ethnicities

- Veteran (American) Owned
- Alaskan Native Corporation (ANC)
- Indian Tribes (Federally Recognized)
- Service-Disabled Veteran (American) Owned
- Women-Owned
- SBA Certified HUBZone Small Business *
- Small Disadvantaged Business (SDB)
- National Minority Supplier Development council (NMSDC) certified (Type 1), CAMSC, MSD-UK, MSD-China, or Other NMSDC affiliates *
- Women’s Business Enterprise National Council (WBENC) certified and WEConnect (China, Canada, UK) Member *

**A copy of SBA Certification letters, NMSDC or WBENC Certifications MUST be provided with this form.*

NOTICE: In accordance with 15 U.S.C. 645(d), any person or concern who misrepresents a firm's proper size classification shall (1) be punished by imposition of a fine, imprisonment or both; (2) be subject to administrative remedies (including suspension and debarment); and (3) be subject to ineligibility for participation in programs conducted under the authority of the Small Business Act.

B. CERTIFICATIONS

Certification Regarding Responsibility Matters (Oct 2015) (FAR 52.209-5)

The Offeror certifies, to the best of its knowledge and belief, that the Offeror and/or any of its Principals:

- Are or are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- Have or have not, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property (if offeror checks “have”, the offeror shall also see 52.209-7, if included in this solicitation); and
- Are or are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision; and
- Have or have not, within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,500 for which the liability remains unsatisfied.

The Offeror has or has not, within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

The Offeror shall provide immediate written notice to Dynetics if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed

circumstances.

Previous Contracts and Compliance Reports (FAR 52.222-22)

The offeror represents that:

It has or has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;

It has or has not filed all required compliance reports; and

Affirmative Action Compliance (FAR 52.222-25)

The offeror represents that:

It has developed and has on file, or has not developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2); or

It has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

III. To be completed for All Orders exceeding \$30,000:

A. EXECUTIVE COMPENSATION CERTIFICATION (FAR 52.204-10)

1. Did your organization in the previous tax year have gross income from all sources over \$300,000?
 Yes No
2. Did your company receive 80% or more of its annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements in the preceding fiscal year?
 Yes No
3. Did your company receive \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontract), loans, grants (and subgrants) and cooperative agreements in the preceding fiscal year?
 Yes No
4. Does the public NOT have access to information about the compensation of your company’s executives through periodic reports filed under 13(a) or 15(d) of the Securities Exchange Act Of 1934 (15 U.S.C.78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filing at <http://www.sec.gov/answers/execomp.htm>.)
 Yes No

If the answers to questions 1, 2, 3 and 4 are all “Yes,” you are required to provide the names and total compensation of each of the five most highly compensated executives in your organization as part of this certification, and on an annual basis for the life of this subcontract. Provide this compensation information in the Table below.

	Name	Total Compensation
Executive 1		
Executive 2		
Executive 3		
Executive 4		
Executive 5		

If Offeror does not complete the above Table, Offeror certifies that it has responded to the Executive Compensation questions in SAM in accordance with Section 6202 of P.L. 110-252, amending the Federal

Funding Accountability and Transparency Act (P.L. 109-282).

IV. To be completed for All Orders exceeding \$35,000:

B. REPRESENTATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT OR DECLARED INELIGIBLE STATUS (Reference FAR 52.209-6)

The Offeror represents that the Offeror and/or any of its Principals are or are not presently debarred, suspended, proposed for debarment by the Federal Government or declared ineligible for award of Government contracts or subcontracts.

The Offeror shall provide immediate written notice to the Buyer if the Offeror learns that its certification was erroneous when submitted or if the Offeror and/or any of its Principals hereafter becomes debarred, suspended, proposed for debarment by the Federal Government or declared ineligible for award of Government contracts or subcontracts.

V. To be completed for All Orders exceeding \$150,000:

A. CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (Reference FAR 52.203-11. Applies if the solicitation/contract includes the Sept 2005 or April 1991 versions of the clause.)

1. The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (2) of this certification.
2. The Offeror, by signing this submittal, hereby certifies to the best of his or her knowledge and belief that:
 - a. No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of this contract, any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;
 - b. If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the Offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and
 - c. He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
3. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, Title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.
4. Should the Offeror's circumstances change during the life of any resulting subcontract with respect to the above, the Offeror will notify the Buyer immediately.

B. CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (Reference FAR 52.203-11 Sept 2007. Applies if the solicitation/contract includes the Sep 2007 version of the clause.)

1. Definitions. As used in this provision—"Lobbying contact" has the meaning provided at 2 U.S.C. 1602(8). The terms "agency," "influencing or attempting to influence," "officer or employee of an agency," "person," "reasonable compensation," and "regularly employed" are defined in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12).
2. Prohibition. The prohibition and exceptions contained in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12) are hereby incorporated by reference in this

provision.

- a. Certification. The Offeror, by signing this submittal, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.
 - b. Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the Offeror with respect to this contract, the Offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The Offeror need not report regularly employed officers or employees of the Offeror to whom payments of reasonable compensation were made.
 - c. Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.
3. Should the Offeror's circumstances change during the life of any resulting subcontract with respect to the above, the Offeror will notify Buyer immediately.

VI. To be completed for All Orders exceeding \$500,000:

C. ANNUAL CERTIFICATION REGARDING COMBATING TRAFFICKING IN PERSONS (Reference FAR 52.222-50(h)(5))

The Offeror certifies that it has or has not received one or more subcontracts containing FAR 52.222-50 in which Form X37101, Certification Regarding Combating Trafficking in Persons has been executed in the preceding 12 months and/or is currently performing such subcontract(s).

If not, stop here.

If yes, the Offeror certifies that it has or has not implemented compliance plans for each and every subcontract, and that the purpose of such plans is to prevent any prohibited activities identified at FAR 52.222-50(b) and to monitor, detect, and terminate any Offeror employee, agent, subcontract or subcontractor employee engaging in prohibited activities; and

After having conducted due diligence for each such subcontract, Offeror either:

- is not aware that it or any of its agents, subcontractors, or their agents are engaged in any such activities on any of the subcontracts;
- or abuses relating to any of the prohibited activities identified in FAR 52.222-50(b) have been found and the appropriate remedial and referral actions have been taken.

VII. To be completed for All Orders exceeding \$5,500,000:

D. Contractor Code of Business Ethics and Conduct (Reference FAR 52.203-13)

The Offeror certifies that it:

does or does not have a written Code of Business Ethics and Conduct which is available to employees engaged in performance of Government contracts and/or subcontracts;

does or does not have an ongoing business ethics awareness and compliance program to communicate periodically and in a practical manner the Offeror's standards and procedures and other aspects of the Offeror's business ethics awareness and compliance program and internal control system, by conducting effective training programs and otherwise disseminating information appropriate to an individual's respective roles and responsibilities.;

does or does not have an internal control system which establish standards and procedures to facilitate timely discovery of improper conduct in connection with Government contracts and/or subcontracts, and ensures corrective measures are promptly instituted and carried out.

CERTIFICATION (SIGNATURE) IS REQUIRED BY AN AUTHORIZED OFFICIAL VERIFYING THE INFORMATION CONTAINED ON THIS FORM IS TRUE (VOID UNLESS SIGNED)

OFFEROR'S EXECUTION

Offeror's signature below applies to all provisions above.

Company: _____

Address: _____

(Offeror's location where performance will occur)

Signature: _____

Name: _____

Title: _____

Email: _____

Phone: _____

Fax: _____

Date: _____